# STATISTICS & DATA

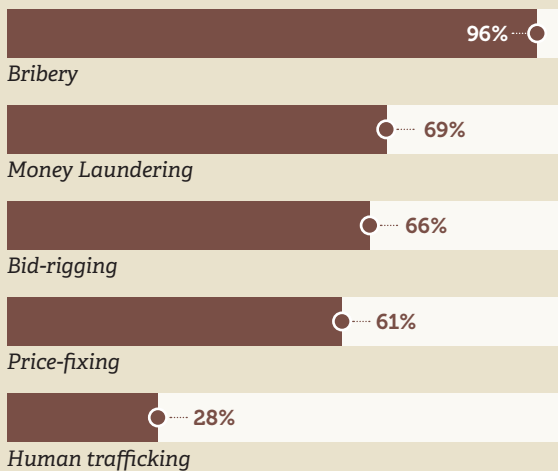## >>>>>> FIGHTING CORRUPTION <<<<<<

Each issue of *Ethisphere Magazine* features data and insights from our research and databases. In this issue, we highlight data from the recently released Kroll-Ethisphere *2016 Anti-Bribery and Corruption Benchmarking Report.*

For which of the following areas of business operations do you have anti-corruption programs in place?

Employees — **91%**

Customers — **60%**

Third Parties — **83%**

Transactions — **72%**
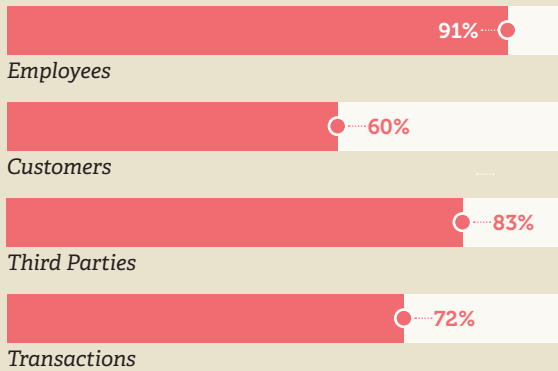
What are the top five types of misconduct your company labels 'corruption,' that the Chief Compliance Officer is responsible for policing?
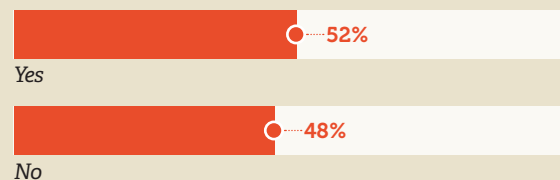
Bribery — **96%**

Money Laundering — **69%**

Bid-rigging — **66%**

Price-fixing — **61%**

Human trafficking — **28%**

How many third parties do you do business with in a given year?

● Less than 50 – **20%** ● 51-100 — **9%** ● 101-500 - **16%**
● 501-1,000 - **8%** ● 1,001-5,000 - **14%** ● 5,001-25,000 - **16%**
● 25,001- 100,000 **8%** ● 100,001 – 500,000- **7%**
● More than 500,000 - **2%**

Does your company conduct audits of third parties to ensure compliance with ethical and legal standards?

Yes — **52%**

No — **48%**

How often do you conduct the audits or monitoring?

31%	5%	2%	30%	6%	26%

- ● Ongoing ● Quarterly ● Every six months ● Annually ● Every two years ● Other
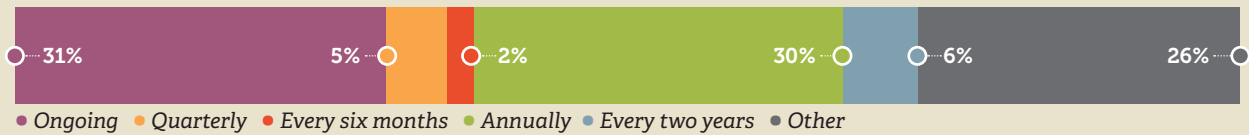
**How well prepared do you believe your business is to comply with the global anti-bribery risks that exist? (self-assessed)**

47%
Appropriately prepared

35%
Moderately prepared

9%
Moderately unprepared

8%
Extremely prepared

2%
Not at all prepared
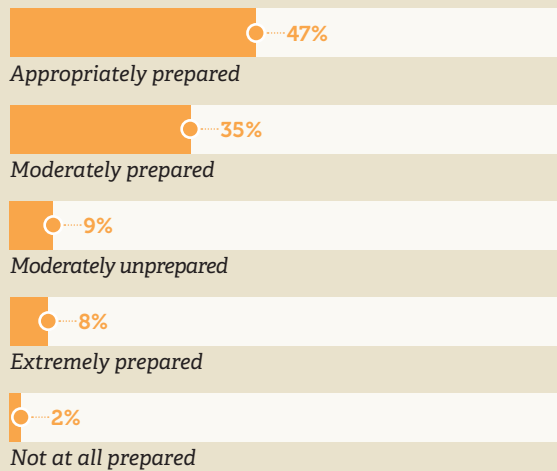
How do you believe your organization's anti-bribery and corruptions risks will change in 2016?

40%
Increase in risk

52%
About the same

8%
Decrease in risk
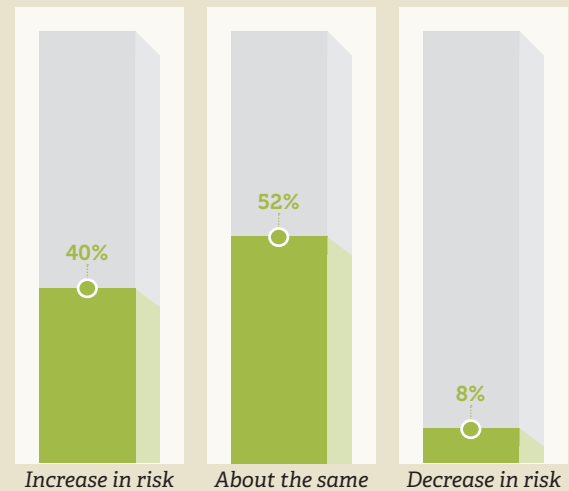
How much of your compliance program is dedicated specifically to anti-bribery programs and issues?

4%
0 percent

48%
1 – 25 percent

26%
26 – 50 percent

15%
51 – 75 percent

7%
76 – 100 percent
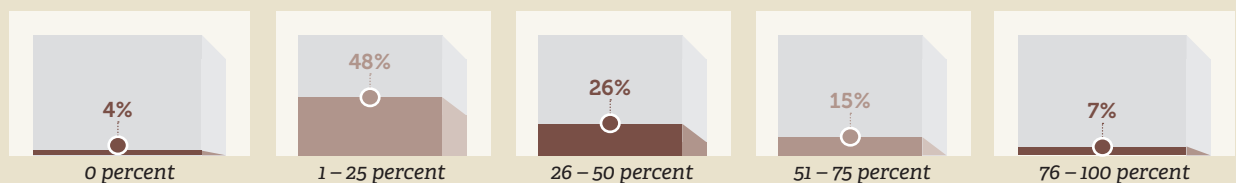
What are the top five types of information you collect as part of your anti-bribery and corruption risk assessment process when determining the risk profile for third parties? (in ranked order)

1. *General reputation and integrity*
2. *Ownership information*
3. *Political exposure / state ownership or control*
4. *Regulatory compliance and litigation record*
5. *Employee training program*

What are the top five most prevalent activities your organization utilizes as part of it's periodic auditing or monitoring process in an effort to combat the corruption risk associated with third parties? (in ranked order)

1. *Review of policies and procedures*
2. *Screening of compliance watchlists and news*
3. *Refresh of due diligence reports*
4. *Review of books and records and financial payments*
5. *Annual compliance training for the third party*

*The data was collected from 267 respondents participating in the 2016 Kroll / Ethisphere Anti-Bribery and Corruption Benchmarking survey. Interested in benchmarking your company against Ethisphere's data sets? Contact Sarah Neumann at **sarah.neumann@ethisphere.com***